



DATA INFORMATION REQUEST FOR PROPOSAL

BASIC BUSINESS INFORMATION

Business Name: _____

Address: _____

Phone number: (____) _____ Fax number: (____) _____

Business Form: _____ Corp _____ S Corp _____ Partner _____ LLC _____ LLP _____ Sole Proprietor

Date business started: _____ Fiscal year ends: _____ Number of Employees: _____

Was there a predecessor entity: _____ Yes _____ No If yes, when did it start? _____

Nature of income pattern: (i.e. steady, seasonal, varies, etc.) _____

Name of Principals	Ownership %	Position if employed in business
_____	_____	_____
_____	_____	_____
_____	_____	_____

RELATED BUSINESS

Do the principals own or control other businesses? _____ Yes _____ No

Is this business affiliated with any other businesses? _____ Yes _____ No

Is this business a subsidiary of any other business? _____ Yes _____ No

For any "yes" answers, please provide information, including business relationship and ownership percentages.

PLAN DESIGN CONSIDERATIONS

Has this business ever had a qualified plan? If yes, please provide details.

What is the motivation for this plan? Please number preference 1 to 4, with 1 being primary motivation.

_____ Retirement savings for principals

_____ Employee benefit

_____ Remain competitive with similar employers

_____ Other _____

EMPLOYEE INFORMATION

Please complete the attached employee census request. If you have this information in another format, you do not need to complete this form. We simply need the data.

For a Defined Benefit Plan illustration, please complete the **SALARY HISTORY** request.



CONFIDENTIAL EMPLOYEE CENSUS

Name of Business _____

Fiscal Year End _____ Type of Entity (Corporation, Sole Proprietor, etc.) _____

*See note below

Name (Indicate if Family Member*)	Date of Birth	Date of Hire	Annual Salary	Part Time	% owner	Officer

*Note: >Family Member means Spouse, Children or Parents of Owners
 >Part time means less than **1,000** hours per year



SALARY HISTORY

For a Defined Benefit Pension Plan illustration, complete the following for principals for the prior three years.

Name	Year	Salary or Schedule C income
Principal		
Principal		
Principal		
Principal		
Principal		
Principal		